

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Patent application of : Atty. Docket No.:
Noel Mayo *et al.* : 6232-218 DI 1 (187805)

Serial No.: Not yet assigned : Examiner:
: Not yet assigned

Filed: November 13, 2001 :
:

Division of: 10/011,039 : Group Art Unit:
:

For: WALLBOX DIMMER SWITCH HAVING : Not yet assigned
SIDE-BY-SIDE PUSHBUTTON AND :
DIMMER ACTUATORS :
:

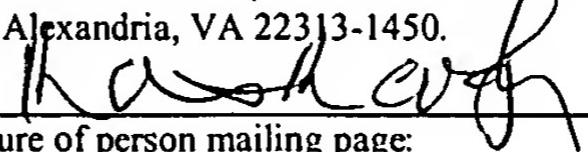
INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, the Applicants attach hereto a completed PTO Form 1449 (modified). This Information Disclosure Statement is being submitted before the mailing of a first office action on the merits for the above-identified application. Therefore, no certification, petition or fee is required. However, if a fee is due, please charge, or credit any overcharge to, Deposit Account No. 50-0573.

Copies of the references have already been submitted in parent application serial no. 10/011,039, filed November 13. 2001. Pursuant to 37 CFR 1.98 (d), copies of these references are not enclosed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.10	
EXPRESS MAIL Mailing Label Number: <u>EL 901243431 US</u>	
Date of Deposit: <u>July 16, 2003</u>	
I hereby certify that this correspondence, along with any paper referred to as being attached or enclosed, and/or fee, is being deposited with the United States Postal Service, "EXPRESS MAIL-POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10, on the date indicated above, and addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. 	
Signature of person mailing page: <u>Katie Keogh</u>	
Type or print name of person	

The following references are noted as being potentially relevant to the subject matter of the present application.

UNITED STATES PATENTS

2,460,599	4,880,950	5,446,252	5,921,381
3,571,545	4,939,383	5,584,380	6,005,308
3,684,850	4,947,054	5,608,196	6,013,885
3,939,318	5,068,639	5,637,930	6,107,585
4,109,121	5,248,919	5,669,484	Des. 337,755
4,159,563	5,262,678	5,721,405	Des. 342,234
4,352,964	5,338,910	5,753,983	Des. 353,798
4,672,229	5,343,007	5,821,482	Des. 364,141
4,783,581	5,359,231	5,844,182	Des. 439,220
4,822,963	5,399,821	5,881,866	
4,871,893	5,428,674	5,909,087	

OTHER DOCUMENTS

NEMA Standards Publication No. ANSI/NEMA WD 6-2001, WIRING DEVICES-DIMENSIONAL SPECIFICATIONS, pages 5 and 7.

Each of the following products of Lutron Electronics Co., Inc. of Coopersburg, PA was known prior to November 13, 2001:

Toggler® dimmer switch, Model TG-600PH

DIVA Duo brand dimmer switch and wallplate, Model LDV-600P

Maestro® dimmer switch, Model MA-600H

Lumea 2 brand dimmer switch, Model LTLV-603PH

VAREO® dimmer switch, Model V-1000

“Lutron® Residential Lighting Controls Catalog” by Lutron Electronics Co., Inc. of Coopersburg, PA, Oct. 31, 2001, pgs. 30-32, 52-68 and 80-87.

Product literature for “Maestro® Electronic Low-Voltage Dimmers” by Lutron Electronic Co., Inc. of Coopersburg, PA, December 2001, 2 pages.

Product literature for "VAREO® and NOVA T (star design)® Controls" by Lutron Electronics Co., Inc. of Coopersburg, PA, December 1996, 2 pages.

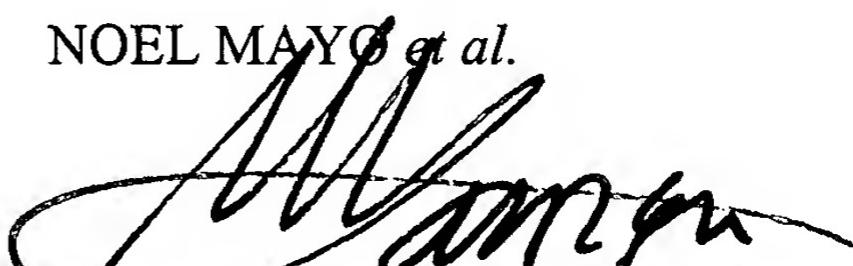
The examiner is respectfully requested to review each of the above-identified references and make them of record in the instant application as required by M.P.E.P. §609. It is requested that the Examiner initial the enclosed duplicate substitute Form 1449, and return one copy to Applicant's undersigned representative.

This Information Disclosure Statement should not be construed as a representation that the references are material or that no better art exists.

Respectfully submitted,

NOEL MAYO *et al.*

BY:



GREGORY J. LAVORGNA
Registration No. 30,469
Drinker Biddle & Reath LLP
One Logan Square
18th and Cherry Sts.
Philadelphia, PA 19103-6996
Tel: 215-988-3309
Fax: 215-988-2757
Attorney for Applicants

SUBSTITUTE FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. 6232-218 (153451)	SERIAL NO. 10/011,039
INFORMATION DISCLOSURE CITATION		APPLICANT: N. Mayo et al.	
		FILING DATE November 13, 2001	GROUP 2832

U. S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	AA	2,460,599	10/3/45	Rowe	74	565	
	AB	3,571,545	3/23/71	Haderer	200	166	
	AC	3,684,850	8/15/72	Kaderbek et al.	200	166	
	AD	3,939,318	2/17/76	Brown et al.	200	159R	
	AE	4,109,121	8/22/78	Bauer et al.	200	67E	
	AF	4,159,563	7/3/79	Bauer et al.	29	622	
	AG	4,352,964	10/5/82	English	200	5A	
	AH	4,672,229	6/9/87	Skarman et al.	307	115	
	AI	4,783,581	11/8/88	Flowers et al.	200	332	
	AJ	4,822,963	4/18/89	Martin	200	296	
	AK	4,871,893	10/3/89	Slovak et al.	200	16R	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

AL	NEMA Standards Publication No. ANSI/NEMA WD 6-2001, WIRING DEVICES-DIMENSIONAL SPECIFICATIONS, pages 5 and 7.
AM	Toggler® dimmer switch, Model TG-600PH, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.
AN	DIVA Duo brand dimmer switch and wallplate, Model LDV-600P, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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	BA	4,880,950	11/14/89	Carson et al.	200	547	
	BB	4,939,383	7/3/90	Tucker et al.	307	139	
	BC	4,947,054	8/7/90	Flowers et al.	307	125	
	BD	5,068,639	11/26/91	Swanson et al.	338	179	
	BE	5,248,919	9/28/93	Hanna et al.	315	291	
	BF	5,262,678	11/16/93	Flowers et al.	307	125	
	BG	5,338,910	8/16/94	Tsai	200	547	
	BH	5,343,007	8/30/94	Roeser	200	296	
	BI	5,359,231	10/25/94	Flowers et al.	307	125	
	BJ	5,399,821	3/21/95	Inagaki et al.	200	341	
	BK	5,428,674	6/27/95	Kawashima	379	100	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

BL	Maestro® dimmer switch, Model MA-600H, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.	
BM	Lumea 2 brand dimmer switch, Model LTLV-603PH, Lutron Electronics Co. Inc., Coopersburg, PA, known prior to November 13, 2001.	
BN	VAREO® dimmer switch, Model V-1000, Lutron Electronics Co., Inc., Coopersburg, PA, known prior to November 13, 2001.	
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	CA	5,446,252	8/29/95	Burger	200	329	
	CB	5,584,380	12/17/96	Naitou	200	315	
	CC	5,608,196	3/4/97	Hall et al.	200	61.19	
	CD	5,637,930	6/10/97	Rowen et al.	307	112	
	CE	5,669,484	9/23/97	Paulson	200	43.22	
	CF	5,721,405	2/24/98	Hamada	200	16R	
	CG	5,753,983	5/19/98	Dickie et al.	307	141.4	
	CH	5,821,482	10/13/98	Ootani et al.	200	5A	
	CI	5,844,182	12/1/98	Hirano et al.	200	5R	
	CJ	5,881,866	3/16/99	Miyajima et al.	200	513	
	CK	5,909,087	6/1/99	Bryde et al.	315	149	

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	DA	5,921,381	7/13/99	Von Arx	200	345	
	DB	6,005,308	12/21/99	Bryde et al.	307	157	
	DC	6,013,885	1/11/00	Kowalczyk,	200	315	
	DD	6,017,585	8/22/00	Gehr	200	505	
	DE	Des. 337,755	7/27/93	Rowen et al.	D13	170	
	DF	Des. 342,234	12/14/93	Graybill, Jr. et al.	D13	169	
	DG	Des. 353,798	12/27/94	Bryde et al.	D13	169	
	DH	Des. 364,141	11/14/95	Hanna et al.	D13	125	
	DI	Des. 439,220	3/20/01	Mayo et al.	D13	125	
	DJ						
	DK						

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AA	"Lutron® Residential Lighting Controls Catalog" by Lutron Electronics Co., Inc. of Coopersburg, PA, Oct. 31, 2001, pgs. 30-32, 52-68 and 80-87.
AB	Product literature for "Maestro® Electronic Low-Voltage Dimmers" by Lutron Electronic Co., Inc. of Coopersburg, PA, December 2001, 2 pages.
AC	Product literature for "VAREO® and NOVA T (star design)® Controls" by Lutron Electronics Co., Inc. of Coopersburg, PA, December 1996, 2 pages.
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